

179216

UNITED STATES BANKRUPTCY COURT
DISTRICT OF MINNESOTA

Unclaimed Dividends for Deposit to Registry Fund

Debtor: MJK Clearing, Inc.

Adversary Proceeding No. 01-4257

Please Check One:

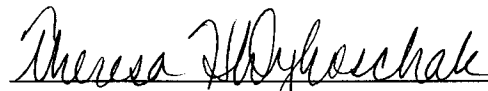
 X Unclaimed Dividends

 Distribution Less Than \$5

Name and Address of Customer or Creditor	Allowed Amount of Claim	Distribution Amount
Munimart.com Inc. 400 Park Avenue 14th Floor New York, NY 10022	\$14,489.38	\$14,489.38
Kimberly Securities 775 Park Avenue Suite 145 Huntington, NY 11743	\$4,004.70	\$4,004.70
Catalyst Trading LLC Attn: Dan Uslander 50 Broadway 2nd Floor New York, NY 10004	\$1,377.37	\$1,377.37
Concord Services LLC 150 So Wacker Ste 350 Chicago, IL 60606	\$2,093.37	\$2,093.37
Waterford Financial Inc. 400 So 4th Street Suite 1050 Minneapolis, MN 55415	\$556.90	\$556.90
Equitrade Securities Corp. 23736 Birtcher Dr. Att K Carroll Lake Forest, CA 92630	\$8,631.98	\$8,631.98

Security Investment Co of Kansas City Attn Steve Hook 4800 Main Street Suite 230 Kansas City, MO 64112	\$5,340.75	\$5,340.75
Sanford Roberts Inc. 32772 Galapagos Ln Dana Point, CA 92629	\$155.54	\$155.54
Thomson Financial Investment Marketing 11 Penn Plaza 17th Floor New York, NY 10001	\$3,500.00	\$3,968.71 ¹
	TOTAL	\$40,618.70

Dated: November 21, 2006



Theresa H. Dykoschak (#0349999)

FAEGRE & BENSON LLP

2200 Wells Fargo Center

90 South Seventh Street

Minneapolis, MN 55402-3901

Telephone: (612) 766-7000

Facsimile: (612) 766-1600

COUNSEL FOR JAMES P. STEPHENSON,
AS TRUSTEE FOR THE LIQUIDATION OF
MJK CLEARING, INC.

fb.us.1672359.03

¹ Pursuant to 11 U.S.C. § 726(a), as applicable in a SIPA proceeding pursuant to 15 U.S.C. § 78fff(b), the Trustee has calculated and provided payment of interest on the unsecured general estate claim of Thomson Financial Investment Marketing. This interest amount was calculated from the petition date, September 27, 2001, through the date on which the Trustee is depositing the unclaimed dividend with this Court. The remaining unclaimed dividends listed herein are customer claims. No provisions in the Bankruptcy Code or SIPA require payment of interest on customer claims. Accordingly, the Trustee has not calculated or provided interest amounts for the remaining claims listed.

UNITED STATES BANKRUPTCY COURT
DISTRICT OF MINNESOTA

SECURITIES INVESTOR PROTECTION
CORPORATION,

Plaintiff-Applicant,

Adv. Proc. No. 01-4257 RJK

v.

MJK CLEARING, INC.,

Defendant.

STATEMENT OF THE TRUSTEE

I, James P. Stephenson, the liquidating trustee of MJK Clearing, Inc., have attempted to make distributions to the claimants listed on the attached list of Unclaimed Dividends for Deposit to Registry Fund. I am providing this list of claimants with unclaimed dividends, along with a check for the unclaimed dividends, pursuant to 11 U.S.C. § 347(a) and Fed. R. Bankr. Pro. 3011.

Dated: November 2nd, 2006



James P. Stephenson,
Liquidating Trustee for
MJK Clearing, Inc.

UNITED STATES BANKRUPTCY COURT
DISTRICT OF MINNESOTA

SECURITIES INVESTOR PROTECTION
CORPORATION,

Plaintiff-Applicant,

Adv. Proc. No. 01-4257 RJK

v.


MJK CLEARING, INC.,

Defendant.

UNSWORN CERTIFICATE OF SERVICE

I, Kim Johnson, declare under penalty of perjury that on the 21st day of November, 2006, I served the annexed **Unclaimed Dividends for Deposit to Registry Fund** and this **Unsworn Certificate of Service** on the persons listed on the attached list by placing a copy thereof in an envelope and arranging for the deposit of same, postage prepaid, in the United States Mails at Minneapolis, Minnesota.

Dated: November 21, 2006


Kim Johnson

SERVICE LIST

<p>Ted S. Meikle Meikle Law Firm 90 South 7th St., Suite 4700 Minneapolis, MN 55402 Phone: (612) 336-7710 Fax: (612) 339-6686 E-mail: ted@meiklelawfirm.com</p>	<p>MJK Clearing, Inc. c/o Todd Miller Miller Johnson Steichen & Kinnard 60 South Sixth Street Minneapolis, MN 55402 Phone: (612) 455-5555 Fax: (612) 455-5600</p>
<p>The Stockwalk Group, Inc. James L. Baillie Clinton E. Cutler Fredrikson & Byron Suite 4000 200 South Sixth Street Minneapolis, MN 55402-3397 Phone: (612) 347-7000 Fax: (612) 347-7077</p>	<p>Securities Investor Protection Corporation c/o Ken Caputo 805 Fifteenth Street, N.W. Suite 800 Washington, D.C. 20005-2207 Phone: (202) 371-8300 Fax: (202) 371-6728</p>
<p>Bryon Bequette MathStar, Inc. 5900 Green Oak Drive Minneapolis, MN 55343 Phone: (952) 746-2200 Fax: (952) 746-2201 E-mail: bryon.bequette@mathstar.com</p>	<p>Mark C. McCullough The Colonnade Suite 730 5500 Wayzata Boulevard Minneapolis, MN 55416 Phone: (763) 545-0833 Fax: (763)-545-0834</p>
<p>Henry F. Minnerop, Esq. Sidley Austin Brown & Wood 787 Seventh Avenue New York, NY 10019 Phone: (212) 839-5300 Fax: (212) 839-5599</p>	<p>Terence M. Fruth Thomas E. Jamison Fruth, Jamison & Elsass, P.A. 3902 IDS Center 80 South Eighth Street Minneapolis, MN 55402 Phone: (612) 344-9700 Fax: (612) 344-9705</p>

Primeshares Attn: Sid J. Garabato 60 Madison Ave. 2nd Floor New York, NY 10011-1600 Phone: (212) 889-5714 Facsimile: (212) 889-2232 E-mail: ksync@primeshares.com	Wells Fargo Bank, N.A. c/o Mark J. Kalla Bruce A. MacKenzie Dorsey & Whitney LLP Suite 1500 50 South Sixth Street Minneapolis, MN 55402-1498 Fax: (612) 340-2643 E-mail: kalla.mark@dorseylaw.com
Mr. James K. Langdon II Dorsey & Whitney LLP Suite 1500 50 South Sixth Street Minneapolis, MN 55402-1498 Phone: (612) 340-8759 Fax: (612) 340-8800 E-Mail: langdon.jim@dorseylaw.com	Stephen D. Lerner Squire, Sanders & Dempsey L.L.P. 312 Walnut Street Suite 3500 Cincinnati, OH 45202 Phone: (513) 361-1220 Fax: (513) 361-1201 E-mail: slerner@ssd.com
Sonia U. Chae Securities & Exchange Commission 175 W. Jackson Blvd., Suite 900 Chicago, IL 60604 Phone: (312) 353-7390	Teri M. Swanson Securities and Exchange Commission 100 F Street NE Washington, D.C. 20549 Phone: (202) 942-0956 Fax: (202) 942-9625
Native Nations Securities, Inc. c/o Proskauer Rose LLP Attn: Michael E. Foreman, Esq. Peter J.W. Sherwin, Esq. 1585 Broadway New York, NY 10036-8299 Phone: (212) 969-3000 Facsimile: (212) 969-2900	The Stockwalk Group, Inc. c/o Leonard, Street and Deinard Steven D. DeRuyter Larry B. Ricke 150 S. Fifth St., Suite 2300 Minneapolis, MN 55402 Phone: (612) 335-1500 Facsimile: (612) 335-1657
Pax Clearing Corporation c/o Stephen P. Bedell Foley & Lardner 321 North Clark, Suite 2800 Chicago, IL 60610 Phone: (312) 832-4500 Facsimile: (312) 832-4700	Pax Clearing Corporation c/o Paul L. Ratelle Fabyanske, Westra & Hart, P.A. 800 LaSalle Ave. #1900 Minneapolis, MN 55402 Phone: (612) 338-0115 Facsimile: (612) 338-3857

Michael A. Pysno, Esq. RBC Dain Rauscher Incorporated 60 South Sixth Street Minneapolis, MN 55402 Phone: (612) 371-7766 Facsimile: (612) 371-7611	George Vasios Securities & Exchange Commission Midwest Regional Office Attn: Bankruptcy Section 175 W. Jackson Blvd. Suite 900 Chicago, IL 60604
Peter D. Wolfson, Esq. Holly S. Falkowitz, Esq. Sonnenschein Nath & Rosenthal 1221 Avenue of the Americas, 24 th Floor New York, NY 10020 Phone: (212) 768-6700 Facsimile: (212) 768-6800 E-mail: pwolfson@sonnenschein.com E-mail: hfalkowitz@sonnenschein.com	Affiliated Financial Services, Inc. c/o Barry L. Wilkie Jones & Keller, P.C. 1625 Broadway, Suite 1600 Denver, CO 80202 Phone: (303) 573-1600 Facsimile: (303) 893-6506
E*Trade Securities, LLC c/o William P. Wassweiler Rider Bennett, LLP 33 South Sixth Street, Suite 4900 Minneapolis, MN 55402-3716 Phone: (612) 340-7973	Joseph D. Dansky Senior Corporate Counsel The BISYS Group, Inc. 105 Eisenhower Pkwy Roseland, NJ 07068
Christopher J. Barber Stephen O'Donnell Elissa Isaacs Piper Marbury Rudnick & Wolfe 203 North LaSalle Street Suite 1800 Chicago, IL 60601-1293 Phone: (312) 368-4000 Facsimile: (312) 236-7516	E*Trade Securities Corporation, Inc. c/o Monica L. Clark Dorsey & Whitney LLP 50 South Sixth Street Suite 1500 Minneapolis, MN 55402-1498 Telephone: (612) 340-2600 Facsimile: (612) 340-2643
E*Trade Securities LLC c/o Edward M. McDonald Christopher P. Hall Neil E. Herman, Esq. Morgan, Lewis & Bockius LLP 101 Park Avenue New York, NY 10178-0060 Telephone: (212) 309-6000 Facsimile: (212) 309-6273 E-Mail: nherman@morganlewis.com	Stephen M. Case Revocable Trust c/o John C. Thomas, Esq. Todd C. Pearson, Esq. Dorsey & Whitney LLP Suite 1500 50 South Sixth Street Minneapolis, MN 55402-1498 Telephone: (612) 340-2600 Facsimile: (612) 340-2643

<p>Teresa J. Kimker Halleland Lewis Nilan & Johnson 600 U.S. Bank Plaza South 220 South Sixth Street Minneapolis, MN 55402-4501 Phone: (612) 204-4175 E-mail: tkimker@halleland.com</p>	<p>Mr. Richard Kirby Preston Gates Ellis & Rouvelas Meeds LLP 1735 New York Ave. NW Suite 500 Washington, DC 20006-5209 Phone: (202) 628-1700 Facsimile: (202) 331-1024</p>
<p>William C. Burnside William C. Burnside & Co., Inc. 111 N. Vermillion P.O. Box 507 Danville, IL 61834-0507 Phone: (217) 443-3310</p>	<p>E*Trade Securities LLC c/o Richard C. St. John, Esq. Munger, Tolles & Olson LLP 355 S. Grand Ave. 35th Floor Los Angeles, CA 90071-1560 E-Mail: stjohnr@mto.com</p>
<p>Mr. David Ebel Schwabe Williamson & Wyatt 1420 Fifth Avenue, Suite 3010 Seattle, WA 98101 Phone: (206) 407-1525 E-mail: debel@schwabe.com</p>	



UNITED STATES | ENGLAND | GERMANY | CHINA

THERESA H. DYKOSCHAK
TDykoschak@faegre.com
(612) 766-7229

November 21, 2006

Clerk of United States Bankruptcy Court
Attn: Lori Vosejpka
301 U.S. Courthouse
300 South Fourth Street
Minneapolis, MN 55415

RECEIVED
NOV 21 PM 4:45
U.S. BANKRUPTCY COURT
MINNEAPOLIS, MN

RE: MJK Clearing, Inc., Adversary Proceeding No. 01-4257 (RJK)

Dear Ms. Vosejpka:

Enclosed for filing in the above-referenced case is a list of Unclaimed Dividends for Deposit to the Registry Fund. Also enclosed is a check in the amount of \$40,618.70 for deposit of the unclaimed dividends into the Bankruptcy Court's Registry Fund.

Thank you for your assistance, and please do not hesitate to contact me should you have any questions.

Sincerely,


Theresa H. Dykoschak

THD:johki

fb.us.1679130.01